



Risk Assessment Template

DORA

Need a better way to **scale** your risk assessments?

This template is a helpful tool, but UpGuard Vendor Risk offers a more efficient and automated risk assessment process.

Streamlined Workflows for Faster Risk Reviews

Save hours by automating risk assessments. UpGuard combines scanned data, vendor evidence, and questionnaire responses into a unified workflow, giving you a comprehensive view and enabling faster, more-informed decisions.

Centralized Remediation & Audit Trail

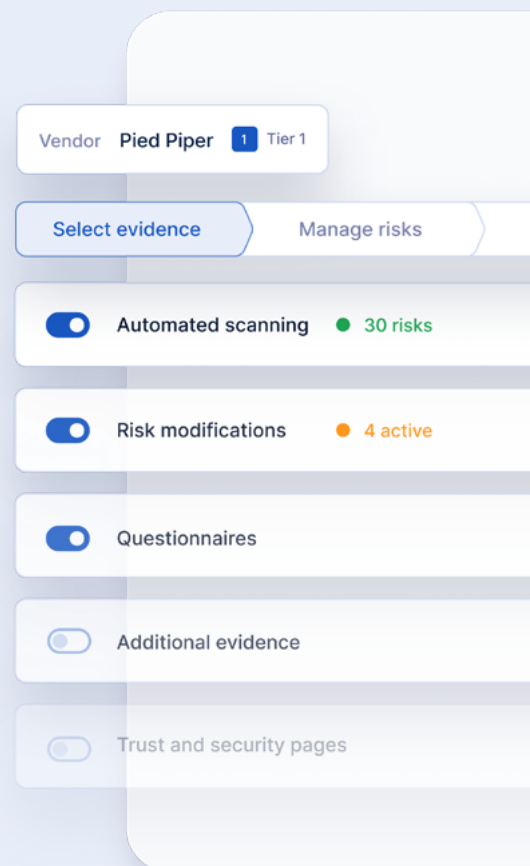
Manage remediation workflows from a single location. Track actions taken, ensure accountability, and maintain a comprehensive audit trail

Real-time Risk Visibility & Actionable Insights

Proactively manage risks with automated alerts UpGuard generates high-quality reports, providing a clear, actionable view of your vendor cybersecurity posture.

Industry Leading Expertise

Looking for additional support? UpGuard's Managed Vendor Assessment offering allows you to outsource critical vendor risk assessments. Our expert team delivers high-quality reviews, ensuring thorough risk evaluations without overburdening your resources.



Helping 12,000+ security professionals work smarter.

[See UpGuard in Action →](#)

Escape the limitations of manual assessments and scale your risk management process with ease. Learn how UpGuard can transform your organisation.

Risk Assessment

DORA

Issued By:

Your Organization

Vendor Being Assessed:

Vendor Name

Date Published:

Date

Overview

Overview of the objectives of this risk assessment. For example: This report provides a detailed overview of the key factors contributing to the security posture and level of DORA compliance of [name of vendor].

Evidence Used to Generate this Report

The list of data sources referenced to create this risk assessment report.

Date Published:

Author:

Questionnaires

The list of data sources referenced to create this risk assessment report.

Questionnaire Name	Sent	Submitted	# of Risks

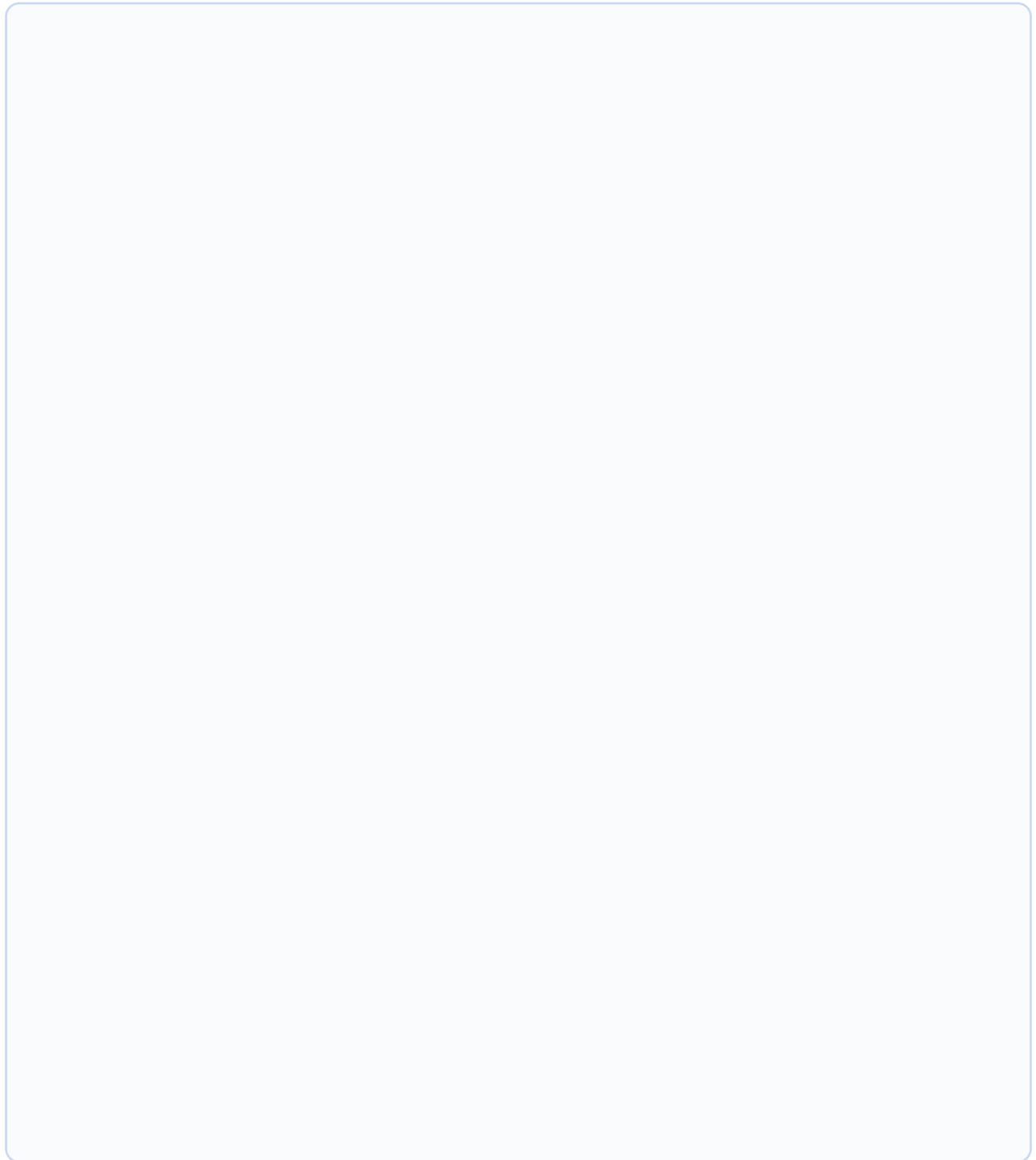
Additional Evidence

List any additional sources used to gather insights about the vendor's security posture and DORA compliance efforts.

Document Type	Name	Last Updated	# of Risks

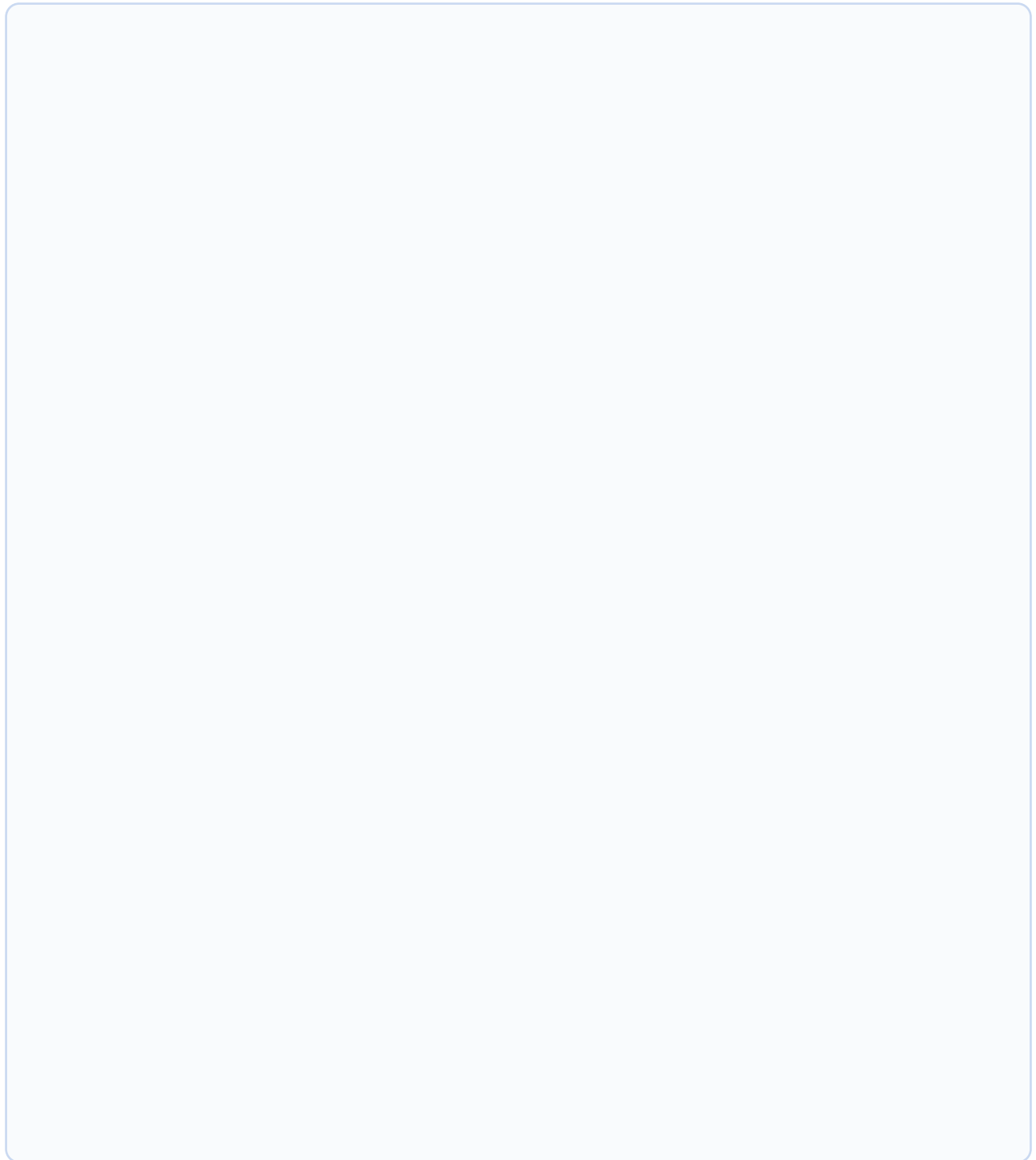
Executive Summary

An overview of the vendor's security posture, DORA compliance risks, and follow-up risk treatment plans based on key findings from this risk assessment.



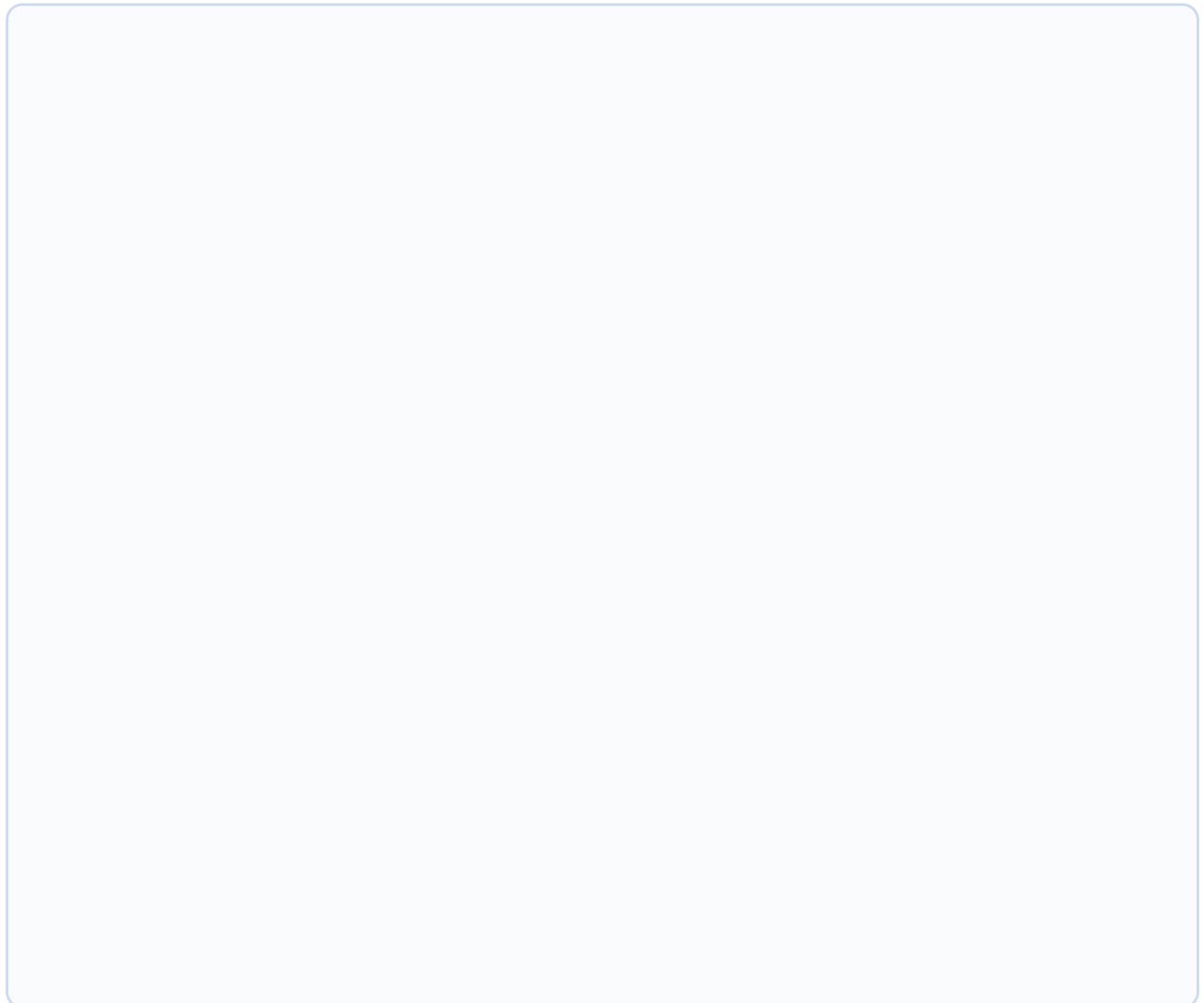
Vendor Background

An overview of the vendor being assessed and their primary service offerings.

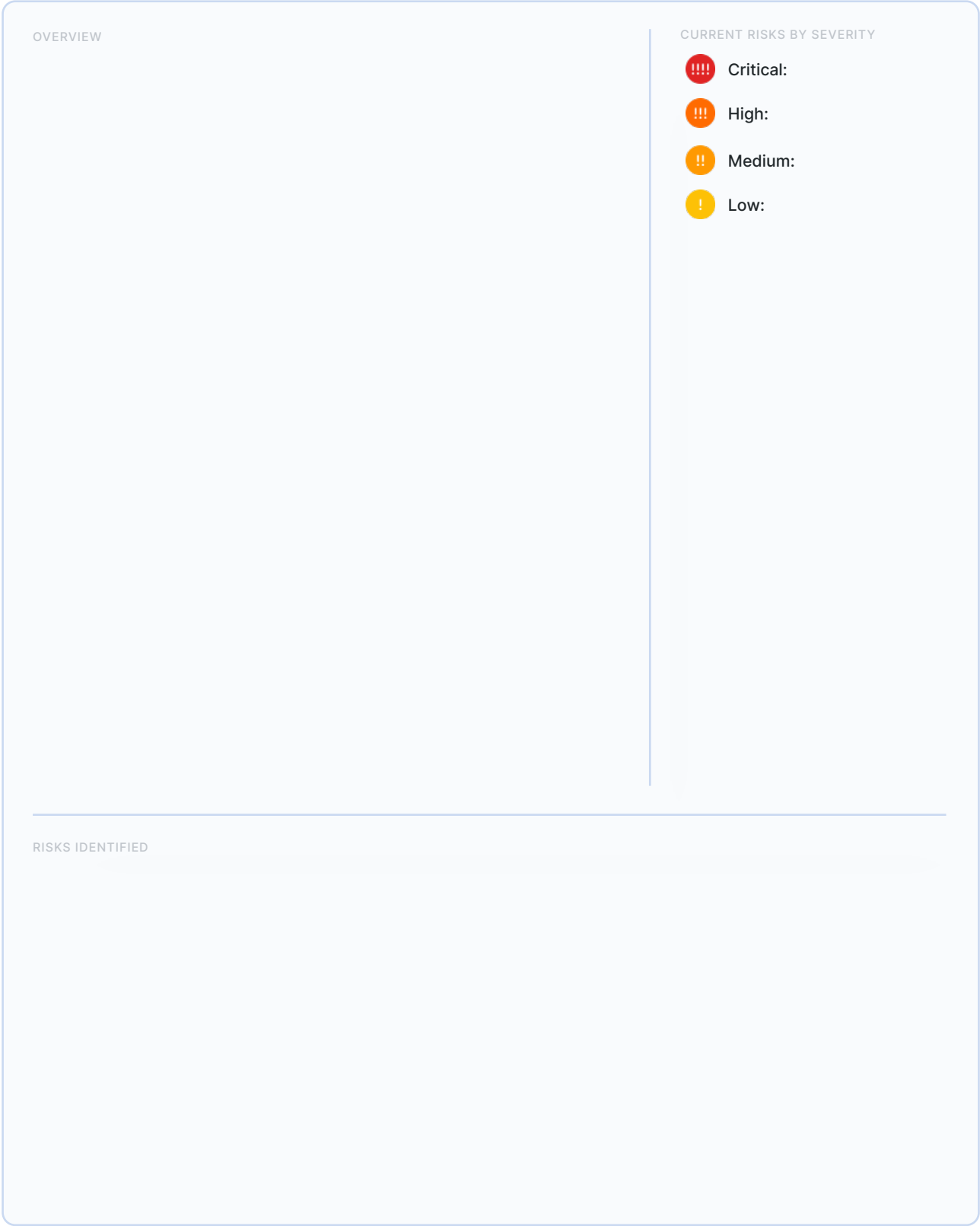


Assessment Summary

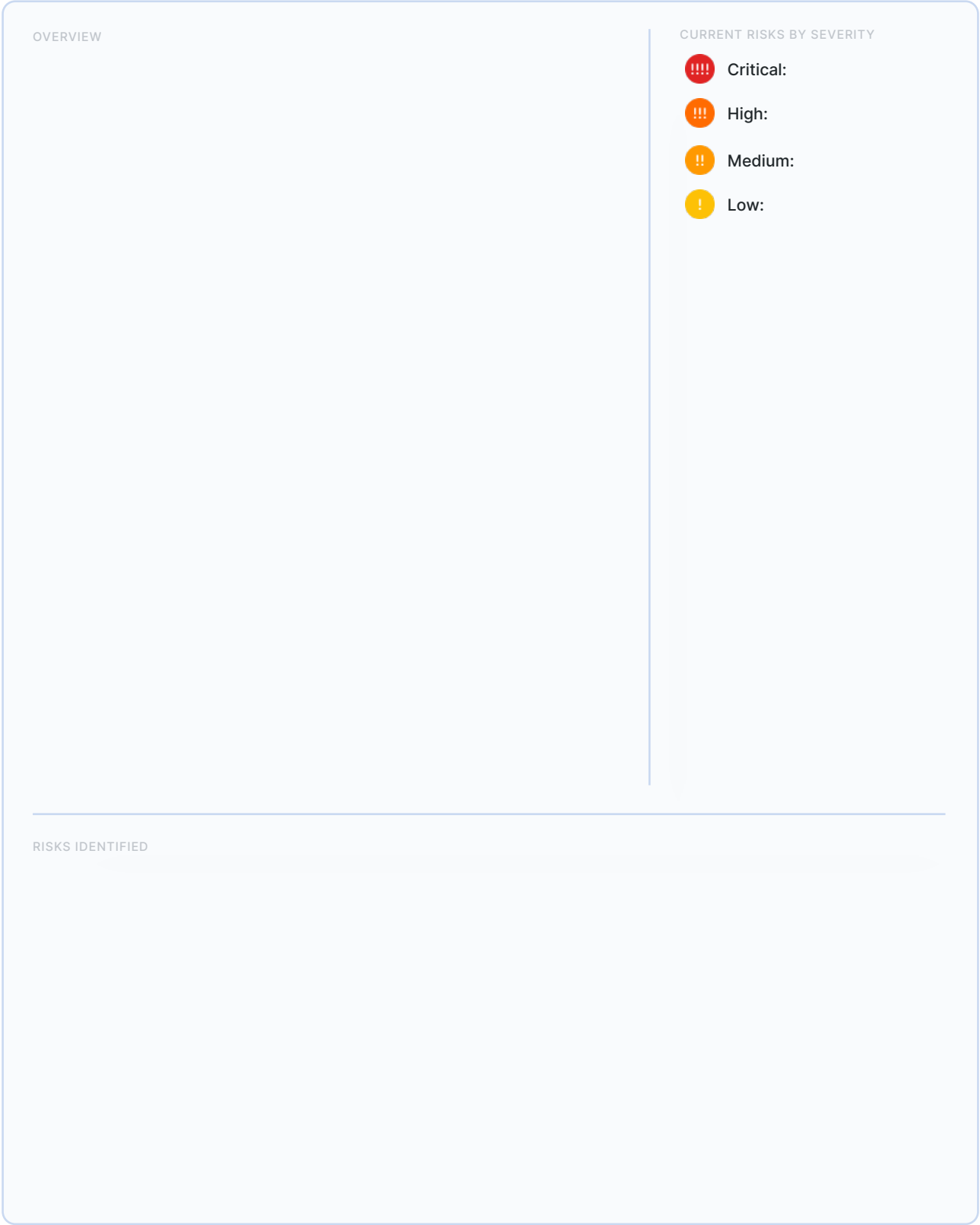
An overview of insights gathered across the six primary security risk categories defining a vendor's security posture and required risk treatment plan.



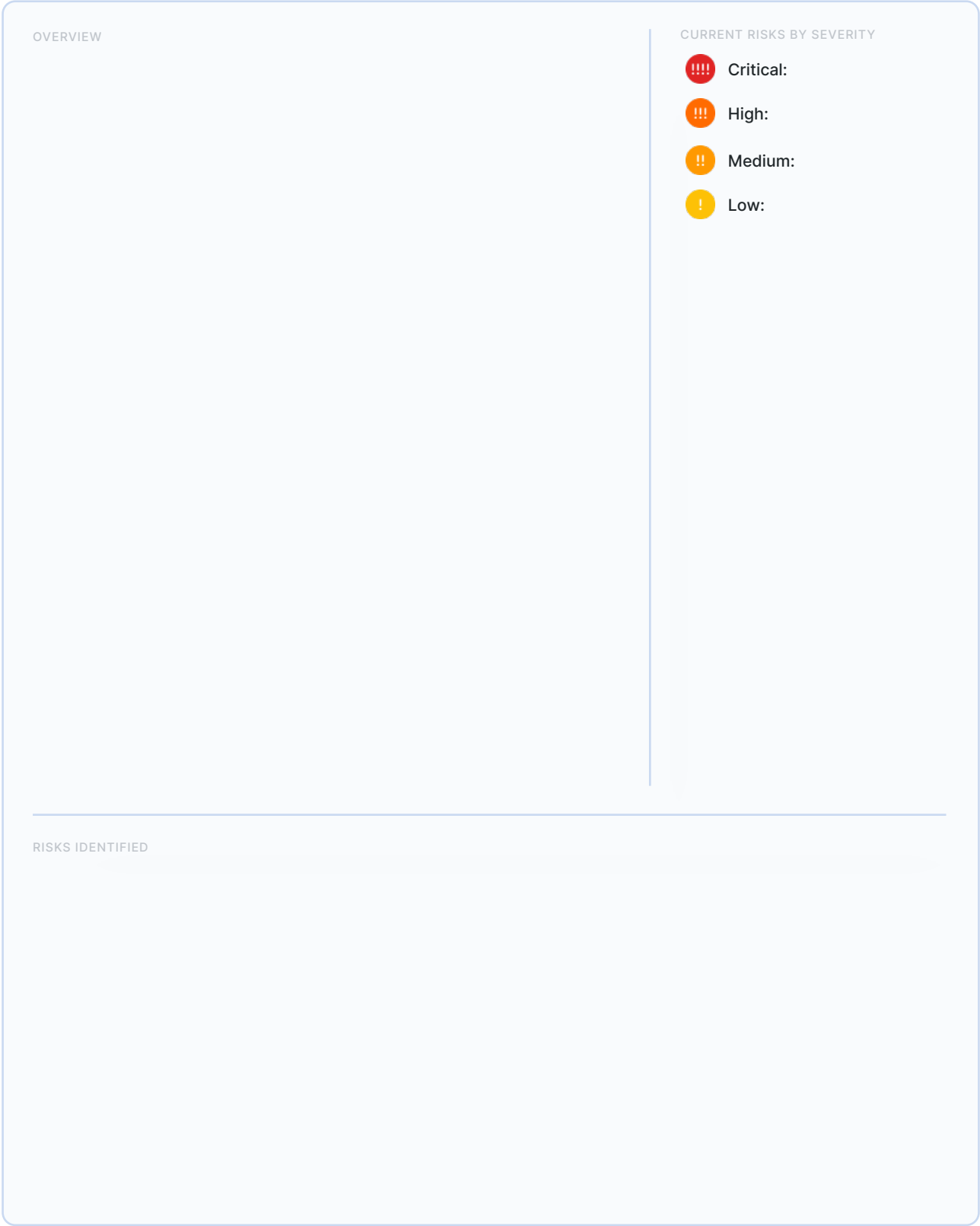
Security Policies and Processes



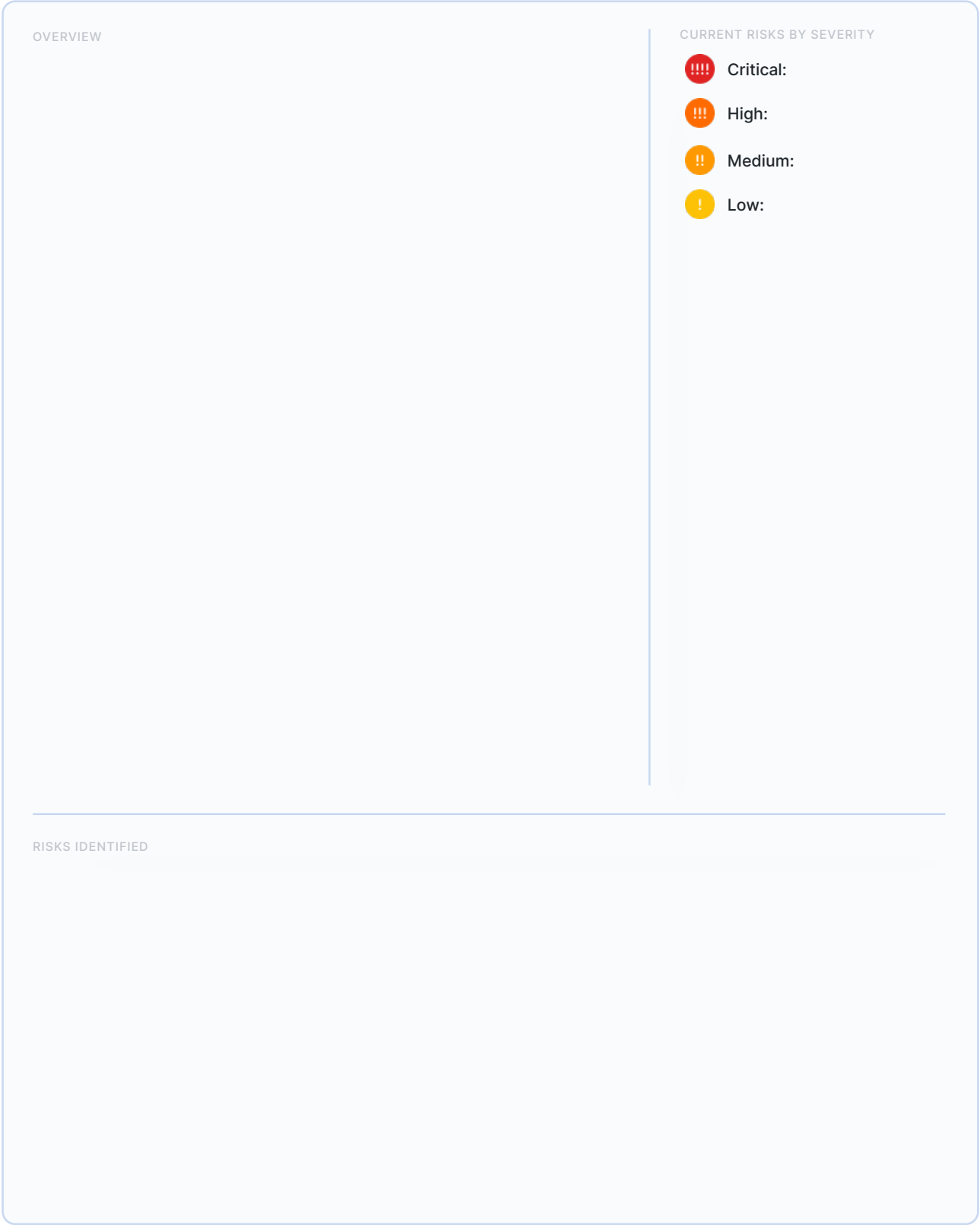
Infrastructure and Asset Management



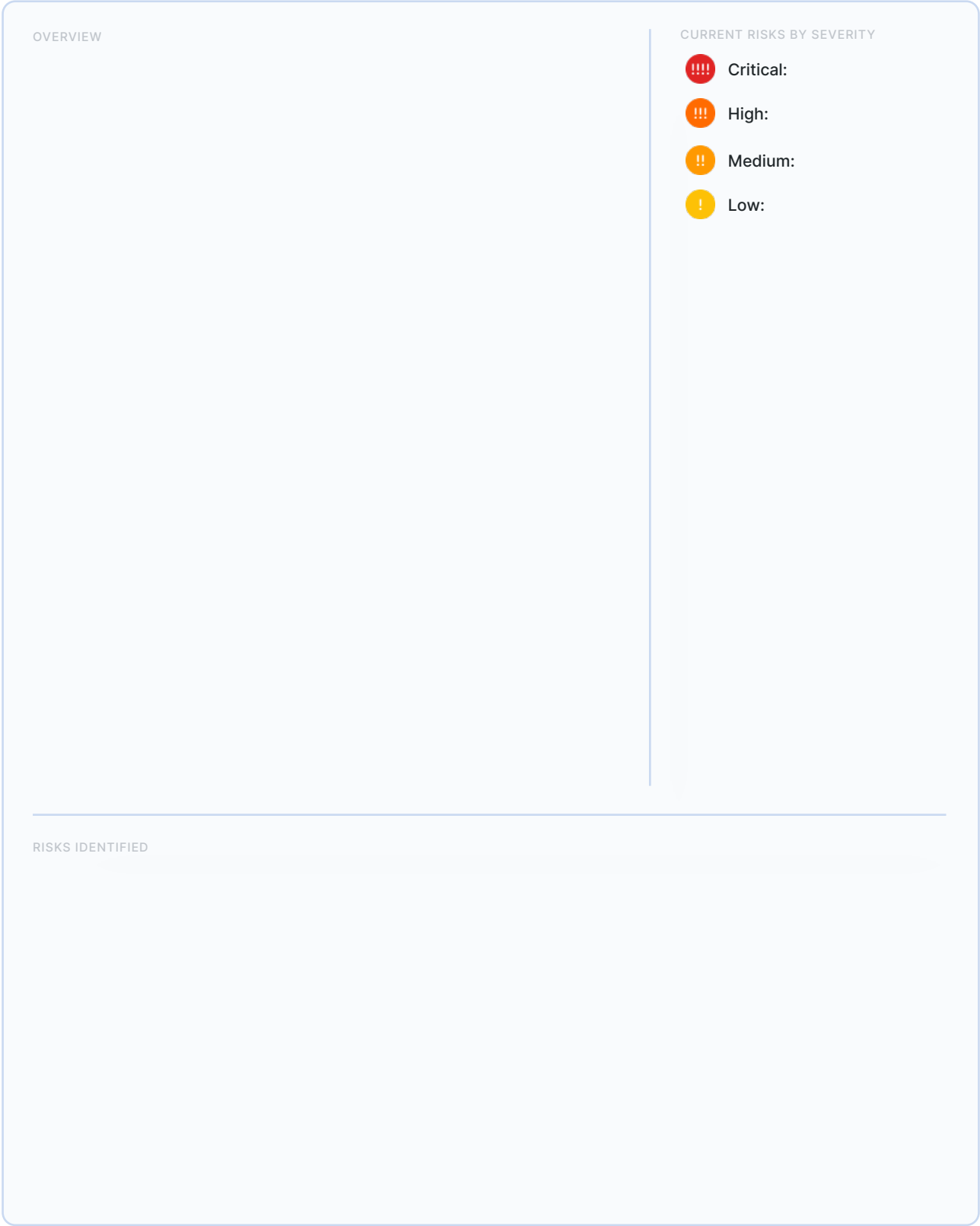
Data Classification and Handling



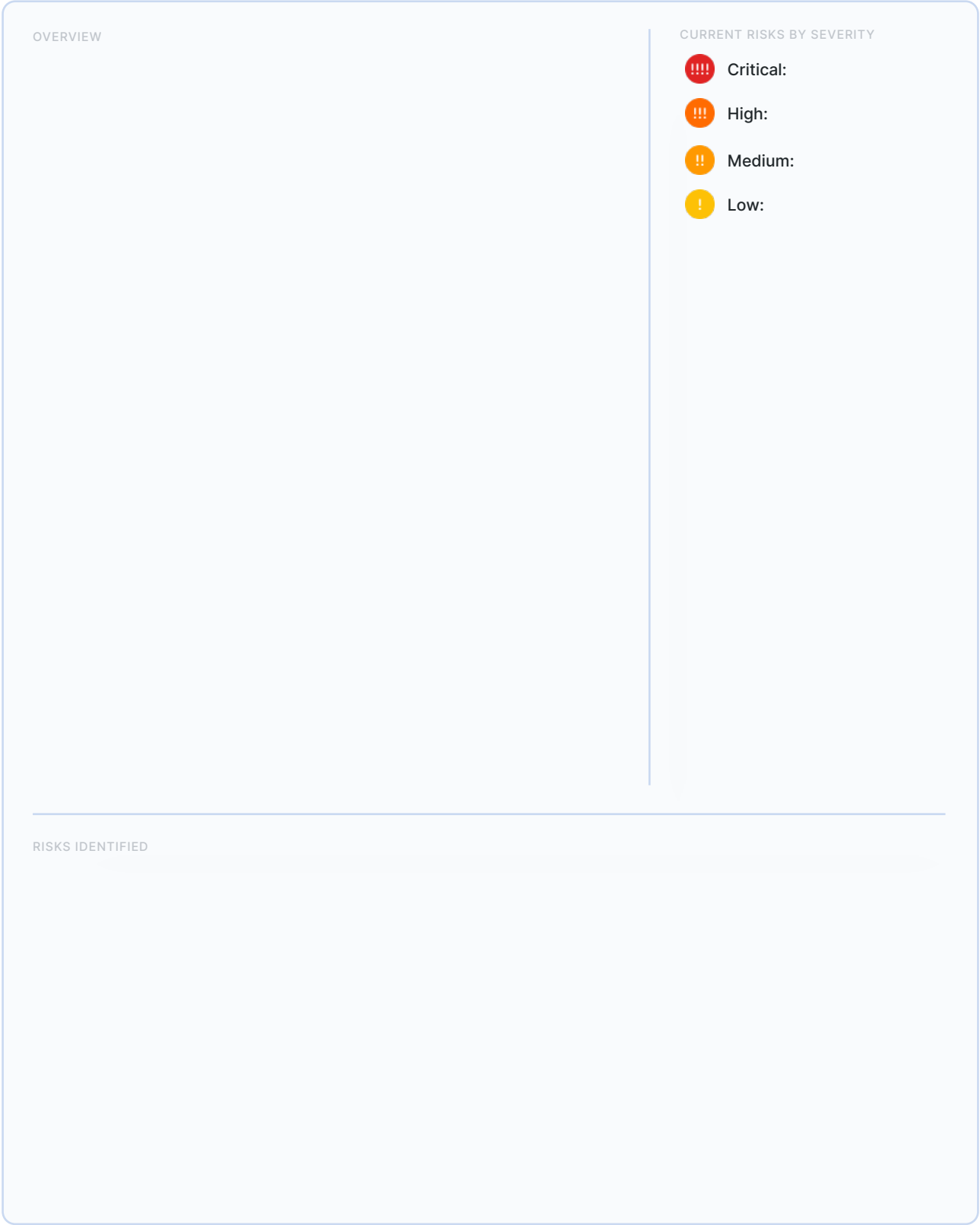
Application Security



Application Security

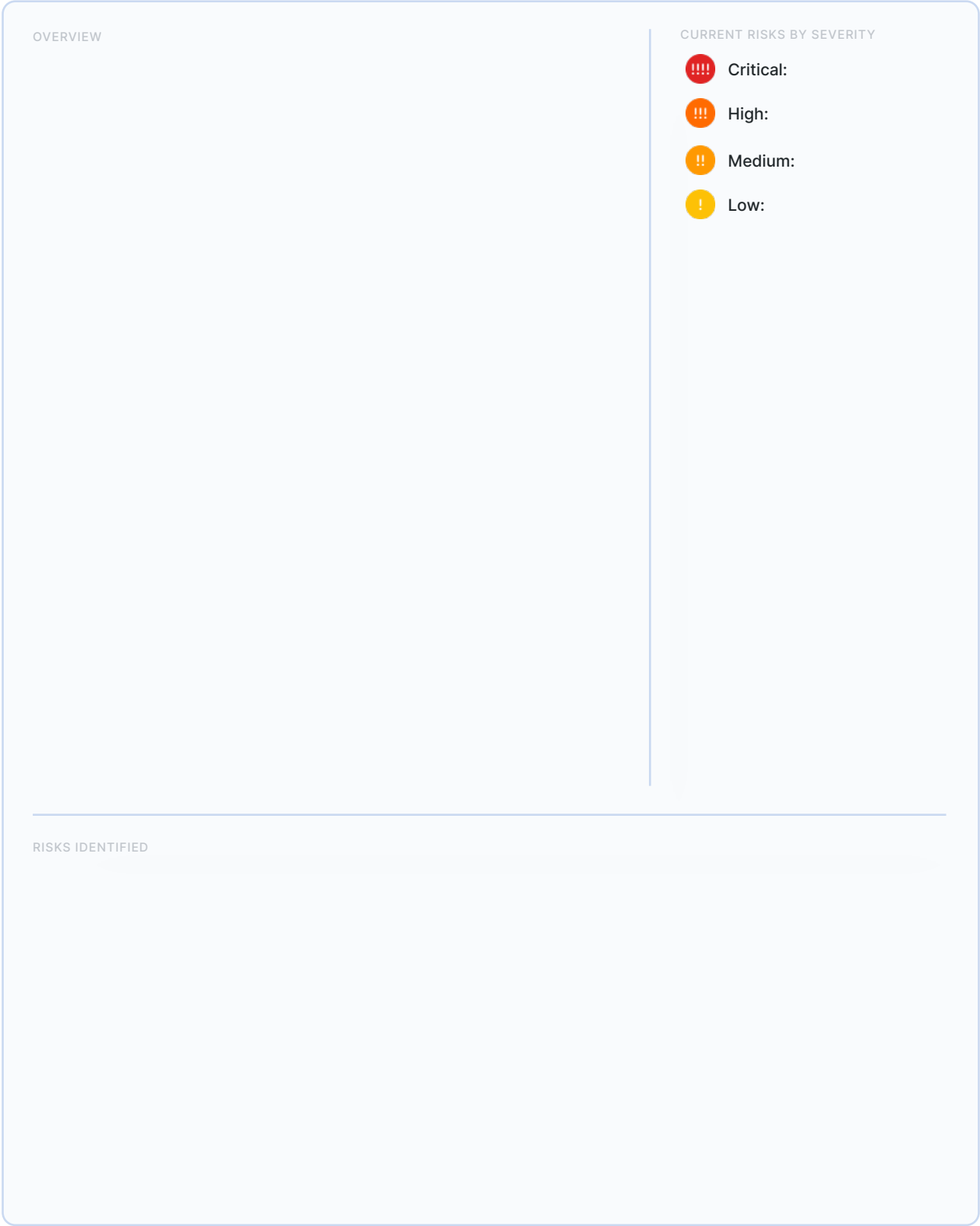


Risk Management



RISKS IDENTIFIED

Recovery and Response



Key Risks

A list of security and DORA compliance risks identified in the questionnaire component of this risk assessment.

Risk Finding:	Risk Severity	Risk Category
Risk Details:	Compensating Control Information	
	Risk Treatment Plan	

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Risk Finding:

Risk Severity

Risk Category

Risk Details:

Compensating Control Information

Risk Treatment Plan

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Risk Details:

Compensating Control Information

Risk Treatment Plan



Explore the Benefits for Your Organization

Third-Party Cyber Risk Management (TPCRM) is an essential component of any organization's cybersecurity strategy, regardless of size. Dispelling common myths about TPCRM software reveals the true value and necessity of these solutions. By adopting TPCRM solutions, such as UpGuard, organizations can effectively manage third-party risks, protect sensitive data, and maintain their competitive edge in an increasingly interconnected digital landscape. Investing in TPCRM is not just a prudent decision—but a vital step towards a secure and resilient future.

Prospective customers can explore the UpGuard platform with a free 14-day trial. Contact UpGuard to get started and learn how TPCRM software can help your organization.

[Free Trial →](#)

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